



### Current Address

\*Address Type ☐ Residential ☐ Business ☐ Registered Office

\*Address Line 1

Address Line 2

Address Line 3  \*City

\*State  \*Country  \*Pin Code

### Proof of Address - YES / NO (Strike off whichever is not applicable)

### Mailing Address

\*Address Type ☐ Residential ☐ Business ☐ Registered Office

\*Address Line 1

Address Line 2

Address Line 3  \*City

\*State  \*Country  \*Pin Code

### Proof of Address - YES / NO (Strike off whichever is not applicable)

### Address in the Jurisdiction Details where Applicant is Resident Outside India for Tax purposes\*

\*Address Type ☐ Residential ☐ Business ☐ Registered Office

\*Address Line 1

Address Line 2

Address Line 3  \*City

\*State  \*Country  \*Pin Code

### All communications will be sent on mobile no / email id.

#### Contact Details

Office Country Code  STD Code  Phone No  Mobile

Fax Country Code  STD Code  Fax No.

Residence Country Code  STD Code  Phone No

Email ID   
(For e-statement & e-alerts)

### \*(C). OTHER DETAILS

\*Educational Qualification ☐ Undergraduate ☐ Graduate ☐ Post Graduate ☐ Professional ☐ Others   
(Please specify)

\*Employment Type ☐ Salaried ☐ Self-employed ☐ Retired ☐ Housewife ☐ Politician ☐ Student ☐ Others   
(Please specify)

\*Occupation ☐ Private Sector ☐ Public Sector ☐ Govt. Service ☐ Service ☐ Doctor ☐ Business ☐ Professional  
☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Forex Dealer ☐ Others   
(Please specify)

If Agriculturist ☐ Landless Labour ☐ Below 2.5 Acres of Land ☐ 2.5 to 5 Acres of Land ☐ Above 5 Acres of Land

\*Source of Income ☐ Salary ☐ Business Income ☐ Gift ☐ Ancestral property ☐ Rental Income ☐ Prize Money ☐ Donation ☐ Others   
(Please specify)

### Know Your Customer (KYC) Documents

\*Proof of Identity ☐ Passport ☐ Voter ID Card ☐ Driving Licence ☐ PAN Card  
☐ UID (Aadhar) ☐ NREGA Job Card ☐ Others   
(Please specify)

Document Type

\*Document Identification Number

Document Issue Date  \*Document Expiry Date   
Mandatory only for Passport and Driving Licence

\*Proof of Address ☐ Passport ☐ Voter ID Card ☐ Driving Licence  
☐ UID (Aadhar) ☐ NREGA Job Card ☐ Others   
(Please specify)

Document Type

\*Document Identification Number

Document Issue Date  \*Document Expiry Date   
Mandatory only for Passport and Driving Licence

### Non Individual Investors involved/Providing any of the mention services

☐ Foreign Exchange/Money Changer Services ☐ Gaming/Gambling/Lottery/Casino Services ☐ Money lending/Pawning ☐ None of these

Type of Company ☐ Partnership ☐ Private Ltd ☐ Proprietorship ☐ Public Ltd ☐ Public Sector ☐ Others   
(other than Salaried) If "others" fill as per Bank's KYC policy

\*Industry Type ☐ Manufacturing ☐ Construction ☐ NBFC ☐ Non Profit Organization ☐ Micro Enterprises ☐ Others   
(Self employed Businessmen) If "others" fill as per Bank's KYC policy

In case of Business or Profession, indicate the details (including nature of goods and services dealt in)

\*Gross Annual Income ☐ Below 1 Lakh ☐ 1 -5 Lakh ☐ 5 - 10 Lakh ☐ 10 - 25 Lakh ☐ 25 Lakh - 1 Crore ☐ 1 - 5 Crore ☐ 5 - 10 Crore ☐ > 10 Crore

Or  
Networth in Rs.  as on



### Risk Profiler

At RBL Bank, it is essential to have a clear understanding of your background & financial situation to provide you with Investment product in the most effective way. This may include understanding your personal data such as finances & wealth, family history as well as your investment horizon and appetite for risk. In order to assist you in this direction, please fill-in this risk-profiling questionnaire. By answering these questions, your investment risk profile can be developed. This profile forms the basis for us to provide suitable portfolios which matches with your background, risk profile and investment horizon.

Please Note : Risk Profiler is optional for Non Individuals

Q 1: Which age range do you fall in?

(☐ Tick wherever is applicable)

- ☐ a Above 60
- ☐ b Between 50 and 59
- ☐ c Between 40 and 49
- ☐ d Between 30 and 39
- ☐ e Below 30

Q 2: How would you describe your present income?

- ☐ a More than sufficient to cover my living expenses
- ☐ b Sufficient to cover my living expenses
- ☐ c Not sufficient to cover my living expenses

Q 3: Your present job or business is:

- ☐ a is not dependable
- ☐ b is relatively secure
- ☐ c is secure
- ☐ d doesn't matter as you already have enough wealth
- ☐ e doesn't matter as you can easily find an equally good new Job/Career

Q 4: Normally, what percentage of your monthly household income could be available for investment or savings?

- ☐ a 0%
- ☐ b Between > 0% and 10%
- ☐ c Between > 10% and 25%
- ☐ d Between > 25% and 50%
- ☐ e Over 50%

Q 5: I plan to begin withdrawing money from my investments within:

- ☐ a Less than 3 years
- ☐ b 3-5 years
- ☐ c 6-10 years
- ☐ d More than 10 years

Q 6: What is the main reason for you investing in the financial market?

- ☐ a I depend on my investments to supplement my cash flow
- ☐ b I depend on my investment to supplement my future income
- ☐ c I want to save for the "Emergency"
- ☐ d I want to protect the value of my capital
- ☐ e I want to grow my capital

Q 7: How good is your knowledge of finance & capital markets?

- ☐ a I am an expert in the field of finance & markets
- ☐ b I am a proficient in finance & markets
- ☐ c I have average knowledge in finance & markets as I keep updated myself updated about the developments through newspapers, journals, media etc.
- ☐ d Limited to knowing things like how the stock market or certain select scripts are moving
- ☐ e No knowledge

Q 8: How would a sharp drop in your investments affect you?

- ☐ a Very much
- ☐ b I would be disappointed
- ☐ c Little bit as you are aware of market volatility
- ☐ d it would not affect me

### Net worth & Investment Details

- 1.What is your current Net worth (financial assets)
- 2.What is your total Investible Surplus

### Asset Allocation Scoring

Scoring to the various questions as per the table given below:

Question & Options	Q1	Q2	Q3	Q4	Q5	Q6	Q7	Q8
a)	10	25	10	10	40	10	40	5
b)	20	15	15	20	30	15	30	10
c)	25	10	25	25	20	25	20	20
d)	35		35	35	15	30	10	25
e)	45		45	45		40	5	

Scoring Results:	
Risk Profile	Points range
Conservative	80-150
Balanced	151-240
Aggressive	240+

I agree that though I have been profiled Conservative/Balanced/Aggressive but I would like to make investments as per the Conservative/Balanced/Aggressive profile. The Risk Profile, Asset allocation and scheme selection are purely done to assess my risk appetite and I agree that I will take independent decisions irrespective of the Risk Profile and the Bank will not be liable for allocating or maintaining allocation of funds as per Asset Allocation.

Signature(s):

With Company Seal Wherever applicable

1<sup>st</sup> Holder/Signatory \_\_\_\_\_ 2<sup>nd</sup> Holder/Signatory \_\_\_\_\_ 3<sup>rd</sup> Holder/Signatory \_\_\_\_\_  
Suggested Asset Allocation (Depictive)

Asset Class	Conservative	Balanced	Aggressive
Equities	25%	45%	65%
Fixed Income	65%	40%	15%
Money Market/Liquid	5%	5%	5%
Alternative Investments	5%	10%	15%
Total	100%	100%	100%

### For Bank Use Only

Document Received ☐ Certified Copies

KYC VERIFICATION CARRIED OUT BY

Investment Account No

Date:

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

I have met Mr. / Ms. \_\_\_\_\_ in person. I hereby confirm the identity and address.  
The form has been filled and signed in my presence. The original documents have been verified by me.

Joint Holders ☐ Yes ☐ No

Name of Joint Holders

Branch Code:  Checked By:

Signature Verified BY (BCSM / BOM):  Emp. ID  Form Received Date:

Customer Segment

Dispatch Date: